

Research Administration

Award Close-Out and Audit

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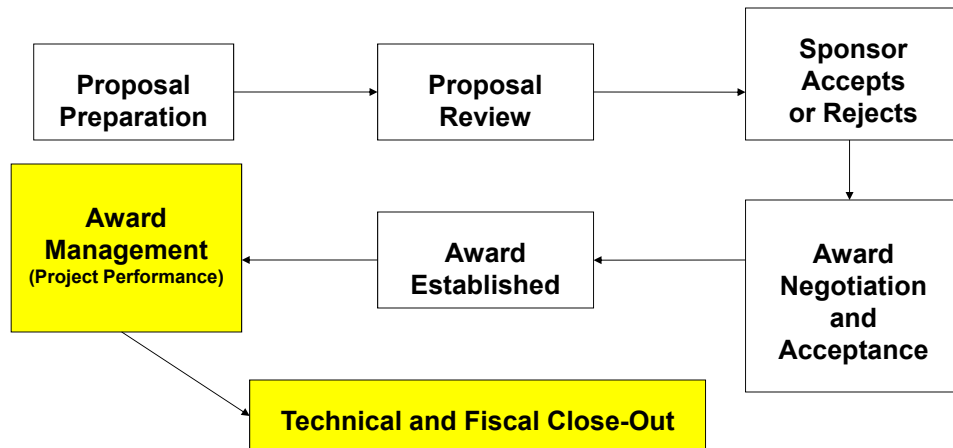
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Lifecycle of Sponsored Projects



Close-out Responsibilities

*Good project management over the **life of the award*** will help to eliminate any problems after the award has ended.*

****Even at time of proposal, think of close-out.***

Case Study 1- Allowable Costs and Cost Transfers

- Considerations
 - Allowability of Costs
 - Reasonable
 - Allocable
 - Consistently Treated
 - Subpart E of Uniform Guidance
 - (sections 2CFR 200.420 through 200.475)
 - Period of Performance
 - Cost Transfers

Internal Controls

- 5 Components of Internal Control
 - Control Environment
 - Risk Assessment
 - Control Activities
 - Information and Communication
 - Monitoring

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5

Internal Controls

14 Types of Compliance Requirements

- Activities Allowed or Unallowed
- Allowable Costs/Cost Principles
- Cash Management
- Davis/Bacon Act
- Eligibility
- Equipment & Property Management
- Matching, Level of Effort, Earmarking
- Period of Availability
- Procurement & Suspension & Debarment
- Program Income
- Real Property Acquisition & Relocation Assistance
- Reporting
- Sub recipient Monitoring
- Special Tests and Provisions

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6

Case Study 2- Documentation

- Definitions:
 - Source Documents: Paid Invoices, Time/Effort Records, Contracts, etc.
 - Maintain Records Indicating Source of Funds
 - Accounting Records: Budgets, Expenditures, Assets, Receivables, etc.
 - Assets Management: Inventory, Title, etc.

References

- UW Resource
 - RSP Website:
 - <http://www.rsp.wisc.edu>
- RSP's Uniform Guidance Information
 - <https://www.rsp.wisc.edu/UG/index.html>
- Research Terms and Conditions
 - <https://nsf.gov/awards/managing/rtc.jsp>
- Uniform Guidance
 - <http://ecfr.gov> (then select Title 2; then section 200)
 - http://www.whitehouse.gov/omb/grants_docs
- COFAR FAQ's
 - <https://cfo.gov/cofar/>

Questions?

Campus contacts/experts:

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School/College Post-Award Dean's Offices

<http://www.rsp.wisc.edu/cnsrescont.cfm> or School/College

Business Services Offices