



OFFICE OF RESEARCH AND SPONSORED PROGRAMS

May 8, 2017

Subject: University of Wisconsin-Madison (Legal Name: Board of Regents of the University of Wisconsin System) Information for Sponsored Agreements

To Whom It May Concern:

The University of Wisconsin-Madison (UW-Madison) maintains a website that encompasses information requested in the sponsor or subrecipient commitment form/financial questionnaire.

In lieu of completing the requested form, all information is accessible as described below:

ENTITY BACKGROUND

The University of Wisconsin-Madison is a public institution of higher education, founded in 1848. UW-Madison has extensive experience in managing extramurally sponsored awards. In Fiscal Year 2016, UW-Madison received in excess of \$1 Billion in sponsored awards, 57% of which were Federal funds. UW-Madison has consistently ranked in the top ten institutions for total R&D expenditures since 1972 (NSF HERD Survey).

ENTITY INFORMATION

General Information

The following information is available at <https://www.rsp.wisc.edu/factualdata.cfm>:

- University of Wisconsin-Madison Institutional Information (e.g., EIN, DUNS, Copy of SAM Registration Validation)
- List of Individuals with Signature Authority
- Budget Information (e.g., F&A Rate Agreement, Fringe Benefit Rates)
- Assurance/Compliance Information
- Agency Specific Information

Fiscal Year

The University of Wisconsin-Madison's fiscal year is July 1 – June 30.

Debarment and Suspension/Federal Debt

With this letter, the University of Wisconsin-Madison certifies that it is not a suspended or debarred entity and that it is not delinquent on Federal debt.

Conflict of Interest

The University of Wisconsin-Madison is among the institutions participating in the FDP FCOI Clearinghouse and has a policy compliant with PHS Financial Conflict of Interest rules:

http://sites.nationalacademies.org/PGA/fdp/PGA_070596

FFATA

The University of Wisconsin-Madison is exempt from reporting total compensation of the five most highly compensated officers.

FINANCIAL INFORMATION

Audit Information

The University of Wisconsin-Madison is audited on an annual basis in compliance with the Office of Management and Budget (OMB) Circular A-133 and 2 CFR 200 Subpart F, as applicable. The audit is performed by the Legislative Audit Bureau for the State of Wisconsin, and a copy is forwarded to the Federal Audit Clearinghouse as required. Per Federal requirements, the audit includes review of the following:

- Activities Allowed or Unallowed
- Allowable Costs/Cost Principles
- Cash Management
- Equipment and Real Property Management
- Matching, Level of Effort, Earmarking
- Period of Performance
- Procurement and Suspension and Debarment
- Program Income
- Reporting
- Subrecipient Monitoring
- Special Tests and Provisions, as applicable

The most recently completed audit report is for the fiscal year ended June 30, 2016 is available for review at <https://legis.wisconsin.gov/lab/media/2612/17-5full.pdf>. Our Management Response Letter is also found at <https://www.rsp.wisc.edu/closeout/AuditLtr2017.pdf>.

Rate Information

- F&A Rate: A copy of the current F&A Rate Agreement is available at <https://www.rsp.wisc.edu/rates/index.html>.
- Fringe Benefit Rates: Current and historical Fringe Benefit Rate information is also available at <https://www.rsp.wisc.edu/rates/index.html>.

Other Policies

Cost Sharing Policy: <https://www.rsp.wisc.edu/costsharing/>

Procurement Policy: <http://www.bussvc.wisc.edu/purch/purch.html>

Property Management: <http://www.bussvc.wisc.edu/acct/propcont/prophome.html>

Cost Transfer Policy: <https://www.rsp.wisc.edu/policies/costtransfer/index.html>

Travel Policy: <http://www.bussvc.wisc.edu/acct/travel/index.html>

Additional information, such as a W-9 form, is available upon request. Please contact preaward@rsp.wisc.edu for such requests. Thank you for your support of the University of Wisconsin-Madison, and we look forward to collaborating with you.

Sincerely,



Robert C. Andresen
Director, Research Financial Services