October 29, 2018

Subject: University of Wisconsin-Madison (Legal Name: Board of Regents of the University of Wisconsin System) Information for Sponsored Agreements

To Whom It May Concern:

The University of Wisconsin-Madison (UW-Madison) maintains a website that encompasses information requested in the sponsor or subrecipient commitment form/financial questionnaire.

In lieu of completing the requested form, all information is accessible as described below:

**ENTITY BACKGROUND**

The University of Wisconsin-Madison is a public institution of higher education, founded in 1848. UW-Madison has extensive experience in managing extramurally sponsored awards. In Fiscal Year 2017, UW-Madison received in excess of $1 Billion in sponsored awards, 56% of which were Federal funds. UW-Madison has consistently ranked in the top ten institutions for total R&D expenditures since 1972 (NSF HERD Survey).

**ENTITY INFORMATION**

General Information
The following information is available at [https://www.rsp.wisc.edu/factualdata.cfm](https://www.rsp.wisc.edu/factualdata.cfm):

- University of Wisconsin-Madison Institutional Information (e.g., EIN, DUNS, Copy of SAM Registration Validation)
- List of Individuals with Signature Authority
- Budget Information (e.g., F&A Rate Agreement, Fringe Benefit Rates)
- Assurance/Compliance Information
- Agency Specific Information

Fiscal Year
The University of Wisconsin-Madison’s fiscal year is July 1 – June 30.

Debarment and Suspension/Federal Debt
With this letter, the University of Wisconsin-Madison certifies that it is not a suspended or debarred entity and that it is not delinquent on Federal debt.
Conflict of Interest
The University of Wisconsin-Madison is among the institutions participating in the FDP FCOI Clearinghouse and has a policy compliant with PHS Financial Conflict of Interest rules: http://thefdp.org/default/fcoi-clearinghouse/compliant-entities/.

FFATA
The University of Wisconsin-Madison is exempt from reporting total compensation of the five most highly compensated officers.

FDP Expanded Clearinghouse
The University of Wisconsin-Madison is among the institutions participating in the FDP Expanded Clearinghouse: https://fdpclearinghouse.org/organizations/23.

FINANCIAL INFORMATION

Audit Information
The University of Wisconsin-Madison is audited on an annual basis in compliance with the Office of Management and Budget (OMB) Circular A-133 and 2 CFR 200 Subpart F, as applicable. The audit is performed by the Legislative Audit Bureau for the State of Wisconsin, and a copy is forwarded to the Federal Audit Clearinghouse as required. Per Federal requirements, the audit includes review of the following:

• Activities Allowed or Unallowed
• Allowable Costs/Cost Principles
• Cash Management
• Equipment and Real Property Management
• Matching, Level of Effort, Earmarking
• Period of Performance
• Procurement and Suspension and Debarment
• Program Income
• Reporting
• Subrecipient Monitoring
• Special Tests and Provisions, as applicable

The most recently completed audit report is for the fiscal year ended June 30, 2017 is available for review at https://legis.wisconsin.gov/lab/media/2731/18-5full.pdf. Our Management Response Letter is found at https://www.rsp.wisc.edu/closeout/AuditLtr2018.pdf.

Rate Information
• F&A Rate: A copy of the current F&A Rate Agreement is available at https://www.rsp.wisc.edu/rates/index.cfm.
• Fringe Benefit Rates: Current and historical Fringe Benefit Rate information is also available at https://www.rsp.wisc.edu/rates/index.cfm.

Other Policies
Cost Sharing Policy: https://www.rsp.wisc.edu/costsharing/
Cost Transfer Policy: https://www.rsp.wisc.edu/policies/costtransfer/index.cfm
Procurement Policy: http://www.bussvc.wisc.edu/purch/purch.html
Property Management: http://www.bussvc.wisc.edu/acct/propcont/prophome.html
Subaward Policies and Procedures: https://www.rsp.wisc.edu/awardmgt/subagmts.cfm
Tuition Remission Policy: https://www.rsp.wisc.edu/policies/tuitionremission_faq.html

Additional information, such as a W-9 form, is available upon request. Please contact preaward@rsp.wisc.edu for such requests. Thank you for your support of the University of Wisconsin-Madison, and we look forward to collaborating with you.

Sincerely,

Robert C. Andresen
Director, Research Financial Services