



April 17, 2017

Subject: Single Audit Report for 2015-2016

To Whom It May Concern:

The University of Wisconsin-Madison has completed its annual audit in compliance with the Office of Management and Budget (OMB) 2 CFR 200 *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* for the fiscal year ended June 30, 2016. The audit was performed by the Legislative Audit Bureau for the State of Wisconsin, and copies of the audit have been forwarded to the Federal clearinghouse as required. The 2016 Single Audit Report (report 17-5) is also available for viewing at <https://legis.wisconsin.gov/lab/media/2612/17-5full.pdf>

To comply with 2 CFR 200 reporting requirements, we are notifying your organization that there were no findings related to your specific organization or to the Research and Development Cluster at the University of Wisconsin-Madison.

There were two findings related to the TRIO Cluster, identified by the Legislative Audit Bureau as a type B program. The University of Wisconsin-Madison sections of the audit report focusing on the Trio Cluster-Student Support Services are on pages 34-36 and 43-49 of the audit report. The Corrective Action Plans for the findings are on pages 162 and 170.

Finding 2016-006: Matching, TRIO-Student Support Services

A finding was made related to the identification of matching expenses for the program. The required transfer of expenses did not occur in a timely manner. As noted in the corrective action plan, UW-Madison processed a cost transfer in February 2017 and has created internal milestones as reminders for future years' transfers.

Finding 2016-009: Annual Performance Reporting and Earmarking-TRIO Cluster

A finding was made related to preparing and certifying the annual performance reports for the program. Data in the performance report did not match supporting documentation. As noted in the corrective action plan, the data errors did not impact the eligibility of student recipients. UW-Madison has implemented additional checks and clearer instructions for its data entry process for these reports.

If you have any questions regarding the audit, please contact Robert Andresen, Director of Research Financial Services at (608) 262-2896 or randresen@rsp.wisc.edu.

Sincerely,

Kim Moreland
Associate Vice Chancellor for Research Administration