UW-Madison Policy on Disclosure of Other Support

Background

As one of the nation’s leading research institutions, the University of Wisconsin-Madison recognizes the importance of providing good stewardship of institutional and extramural funds. The University’s stewardship responsibilities include complying with federal requirements for disclosing other support (also known as current and pending support). Other support may include active, pending, or previous sources of support for a faculty member’s research and other sponsored activities.

Sponsoring agencies request information on other support as part of the proposal process, often prior to the issuance of an award, or as part of the annual progress report process. Sponsors use the information to ensure that scientific, budgetary, or commitment overlap do not occur. The requirement for disclosure is in place to demonstrate that:

- the funding agency is not providing funds for work that is already supported by another source;
- the faculty member has sufficient time available to conduct the work for which funds are being requested; and
- only funds necessary to conduct the approved project are included in the award.

Additionally, there is current interest in the federal government on undue foreign influence on the U.S. research enterprise, and federal sponsors have emphasized that appropriate disclosures must be made as part of other support documents.

Policy Statement

It is the policy of the University of Wisconsin-Madison that all researchers who engage in sponsored projects will comply with University policies and sponsoring agency requirements regarding the provision of information on other support documents.

UW-Madison requires that every disclosure to an external funding agency of a researcher’s active, pending, or previous sources of support for research and other sponsored activities be true, complete, and accurate to the best of the researcher’s knowledge. This requirement applies regardless of the source of support, whether or not UW-Madison is the recipient of the support. For example, if a researcher with a joint appointment is listed as a PI on an award to another institution, that must be disclosed in other support. The requirement for disclosure applies at the time of proposal submission, prior to award acceptance, and as part of the annual progress report.

It is the investigator’s responsibility to ensure the accuracy of other support documents, in accord with the application guidelines or the sponsor’s instructions. False, fictitious, or fraudulent statements or claims (including intentional omissions) in violation of this policy may result in University and sponsor administrative, civil, or criminal penalties.
Reason for Policy

This policy is created in order to:

- Comply with the provisions of the federal Office of Management and Budget’s regulations, including 2 CFR 200, the Uniform Guidance, and other relevant sponsor requirements.
- Establish expectations with faculty and staff about other support information.
- Promote the best possible alignment between faculty and staff activity and funding sources and, in so doing, enhance work at UW-Madison.

Further information about the University’s procedures for this policy are available on RSP’s website at:

https://rsp.wisc.edu/other-support-information.cfm